

Docket No.  
CHA920010021US1**Declaration and Power of Attorney For Patent Application****English Language Declaration**COPY OF PAPERS  
ORIGINALLY FILED  
02/08/02

OIPF

MAR 28 2002

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name,

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled

SECURE METHOD AND SYSTEM FOR DETERMINING CHARGES AND ASSURING PRIVACY

the specification of which

(check one)

☒ is attached hereto.

☐ was filed on \_\_\_\_\_ as United States Application No. or PCT International Application Number \_\_\_\_\_ and was amended on \_\_\_\_\_

(if applicable)

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose to the United States Patent and Trademark Office all information known to me to be material to patentability as defined in Title 37, Code of Federal Regulations, Section 1.56.

I hereby claim foreign priority benefits under Title 35, United States Code, Section 119(a)-(d) or Section 365(b) of any foreign application(s) for patent or inventor's certificate, or Section 365(a) of any PCT International application which designated at least one country other than the United States, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate or PCT International application having a filing date before that of the application on which priority is claimed.

Prior Foreign Application(s)

Priority Not Claimed

(Number)

(Country)

(Day/Month/Year Filed)

☐

(Number)

(Country)

(Day/Month/Year Filed)

☐

(Number)

(Country)

(Day/Month/Year Filed)

☐

I hereby claim the benefit under 35 U.S.C. Section 119(e) of any United States provisional application(s) listed below:

|                          |               |
|--------------------------|---------------|
| _____                    | _____         |
| (Application Serial No.) | (Filing Date) |

|                          |               |
|--------------------------|---------------|
| _____                    | _____         |
| (Application Serial No.) | (Filing Date) |

|                          |               |
|--------------------------|---------------|
| _____                    | _____         |
| (Application Serial No.) | (Filing Date) |

I hereby claim the benefit under 35 U. S. C. Section 120 of any United States application(s), or Section 365(c) of any PCT International application designating the United States, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT International application in the manner provided by the first paragraph of 35 U.S.C. Section 112, I acknowledge the duty to disclose to the United States Patent and Trademark Office all information known to me to be material to patentability as defined in Title 37, C. F. R., Section 1.56 which became available between the filing date of the prior application and the national or PCT International filing date of this application:

|                          |               |                                |
|--------------------------|---------------|--------------------------------|
| _____                    | _____         | _____                          |
| (Application Serial No.) | (Filing Date) | (Status)                       |
|                          |               | (patented, pending, abandoned) |

|                          |               |                                |
|--------------------------|---------------|--------------------------------|
| _____                    | _____         | _____                          |
| (Application Serial No.) | (Filing Date) | (Status)                       |
|                          |               | (patented, pending, abandoned) |

|                          |               |                                |
|--------------------------|---------------|--------------------------------|
| _____                    | _____         | _____                          |
| (Application Serial No.) | (Filing Date) | (Status)                       |
|                          |               | (patented, pending, abandoned) |

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

POWER OF ATTORNEY: As a named inventor, I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and transact all business in the Patent and Trademark Office connected therewith. (list name and registration number)

Norman L. Gundel - Reg. No. 30,387  
 Douglas A. Lashmit - Reg. No. 28,871  
 Richard A. Tomlin - Reg. No. 24,449  
 Karl O. Hesse - Reg. No. 25,398  
 Kenneth A. Seaman - Reg. No. 28,113  
 Michael F. Hoffman - Reg. No. 40,019  
 Spencer K. Warnick - Reg. No. 40,398  
 Ronald A. D'Alessandro - Reg. No. 42,456  
 John W. LaBatt - Reg. No. 48,301  
 John A. Merecki - Reg. No. 35,812

Send Correspondence to: Michael F. Hoffman - Reg. No. 40,019



Michael F. Hoffman (518) 449-0044

Direct Telephone Calls to: (name and telephone number)

|  |                      |
|--|----------------------|
| Full name of solo or first inventor<br>Paul A. Moskowitz             |                      |
| Solo or first inventor's signature<br><i>Paul A. Moskowitz</i>       | Date<br>Nov 29, 2001 |
| Residence<br>2015 Hunterbrook Road, Yorktown Heights, New York 10598 |                      |
| Citizenship<br>United States   |                      |
| Post Office Address<br>same as above                                 |                      |

|  |                    |
|--|--------------------|
| Full name of second inventor, if any<br>Charles P. Tresser                 |                    |
| Second inventor's signature<br><i>Charles P. Tresser</i>                   | Date<br>11/30/2001 |
| Residence<br>310 East 4th Street, Apartment PH-T, New York, New York 10017 |                    |
| Citizenship<br>United States   |                    |
| Post Office Address<br>same as above                                       |                    |

|  |                  |
|--|------------------|
| Full name of third inventor, if any<br>Robert J. von Gutfeld |                  |
| Third inventor's signature<br><i>Robert J. von Gutfeld</i>   | Date<br>11/30/01 |
| Residence<br>600 West 115th Street, New York, New York 10025 |                  |
| Citizenship<br>United States                                 |                  |
| Post Office Address<br>same as above                         |                  |

|                                      |      |
|--------------------------------------|------|
| Full name of fourth inventor, if any |      |
| Fourth inventor's signature          | Date |
| Residence                            |      |
| Citizenship                          |      |
| Post Office Address                  |      |

|                                     |      |
|-------------------------------------|------|
| Full name of fifth inventor, if any |      |
| Fifth inventor's signature          | Date |
| Residence                           |      |
| Citizenship                         |      |
| Post Office Address                 |      |

|                                     |      |
|-------------------------------------|------|
| Full name of sixth inventor, if any |      |
| Sixth inventor's signature          | Date |
| Residence                           |      |
| Citizenship                         |      |
| Post Office Address                 |      |

FORM PTO-1585 (Modified)  
(Rev. 03-01)  
OMB No. 0691-0027 (exp. 3/31/2002)  
P08A/REV03

## RECORDATION FORM COVER SHEET

U.S. DEPARTMENT OF COMMERCE  
Patent and Trademark Office

## PATENTS ONLY

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To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

## 1. Name of conveying party(ies):

Paul A. Moskowitz  
Charles P. Tresser  
Robert J. von Gutfeld

## 2. Name and address of receiving party(ies):

Name: International Business Machines CorporationAddress: New Orchard Road

MAR 28 2002

City: Armonk State/Prov.: New YorkCountry: USA ZIP: 10504Additional names(s) of conveying party(ies) ☐ Yes ☒ No

## 3. Nature of conveyance:

- ☒ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name  
☐ Other \_\_\_\_\_

Execution Date: 11/29/2001 and 11/30/2001Additional name(s) & address(es) ☐ Yes ☒ No

## 4. Application number(s) or patent numbers(s):

If this document is being filed together with a new application, the execution date of the application is: \_\_\_\_\_

Patent Application No. \_\_\_\_\_ Filing date \_\_\_\_\_

09/990,842

11/21/2001

B. Patent No.(s) \_\_\_\_\_

Additional numbers \_\_\_\_\_

☐ Yes ☒ No

## 5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Michael F. HoffmanRegistration No. 40,019Address: Hoffman, Warnick & D'Alessandro LLCThree E-Comm SquareCity: Albany State/Prov.: New YorkCountry: USA ZIP: 122076. Total number of applications and patents involved: 17. Total fee (37 CFR 3.41):.....\$ 40.00☐ Enclosed - Any excess or insufficiency should be credited or debited to deposit account☒ Authorized to be charged to deposit account

## 8. Deposit account number:

09-0469 (IBM)

(Attach duplicate copy of this page if paying by deposit account)

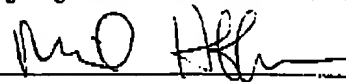
DO NOT USE THIS SPACE

## 9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Michael F. Hoffman - Reg. No. 40,019

Name of Person Signing



Signature

12/11/01

Date

3

Total number of pages including cover sheet, attachments, and

Mail documents to be recorded with required cover sheet information to:  
Commissioner of Patents & Trademarks, Box Assignments  
Washington, D.C. 20231

## ASSIGNMENT

Whereas, we

(1) Paul A. Moskowitz  
County of Westchester

City of Yorktown Heights  
and State of New York, USA

and

(2) Charles P. Tresser  
County of New York

City of New York  
and State of New York, USA

and

(3) Robert J. von Gutfeld  
County of New York

City of New York  
and State of New York, USA

have invented certain improvements in

**Secure Method and System for Determining Charges and Assuring Privacy**

and have executed, respectively, a United States patent application therefor on

(1) *November 29, 2001*

and,

(2) *November 30, 2001*

and,

(3) *November 30, 2001*

Whereas, INTERNATIONAL BUSINESS MACHINES CORPORATION, a corporation of New York, having a place of business at Armonk, New York 10504, (hereinafter called IBM), desires to acquire the entire right, title and interest in the said application and invention, and to any United States and foreign patents to be obtained therefor;

Now therefore, for a valuable consideration, receipt whereof is hereby acknowledged, we the above named hereby sell, assign, and transfer to IBM, its successors and assigns, the entire right, title and interest in the said application and invention therein disclosed for the United States and foreign countries, and all rights of priority resulting from the filing of said United States application, and we request the Commissioner of Patents to issue any Letters Patent granted upon the invention set forth in said application to IBM, its successors and assigns; and we hereby agree that IBM may apply for foreign Letters Patent on said invention and we will execute all papers necessary in connection with the United States and foreign applications when called upon to do so by IBM.

ASSIGNMENT (Continued)

Title: Secure Method and System for Determining Rates and Assuring Privacy

(1) Signed at Yorktown Hts, NY Paul A. Moskowitz  
on Nov. 29, 2001 (Signature of Inventor)

Paul A. Moskowitz  
(Typed Name of Inventor)

(2) Signed at Hawthorne, NY Charles P. Tresser  
on 11-30-2001 (Signature of Inventor)

Charles P. Tresser  
(Typed Name of Inventor)

(3) Signed at Yorktown Hts, NY Robert J. von Gutfeld  
on 11-30-01 (Signature of Inventor)

Robert J. von Gutfeld  
(Typed Name of Inventor)